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Practitioner's Docket No. 1956/130

PATENT

JAN 1 2 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of:

Steve Morley, Robert C. Solomon, David DesRoches, and John Percy

Application No.: 09/675,423

Group No.: 2188

Filed: 09/29/2000

Examiner: Elahee, M.D.

For: System and Method for Hierarchical Data Storage

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

JAN 1 6 2004

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AMENDMENT TRANSMITTAL

Technology Center 2100

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is other than a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)(1)-

(4)) for one month: 01/13/2004 SDIRETAL 00000025 194972 09675423

01 FC:1251

110.00 DA

Fee:

\$110.00

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILIN

[x] deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

[x] with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. ______(mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) ___

Signature

Date: January 9, 2004

John L. Conway

(type or print name of person certifying)

^{*} Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(0	Col. 2)	(C	col. 3)	OTHER THAN A SMALL ENTITY					
	CLAIMS		•								
	REMAINING	HIGH	IEST NO.								
	AFTER	PREV	/IOUSLY	PRE	ESENT					ADDIT.	
	AMENDMENT	PAID FOR		EXTRA		RATE				FEE	
TOTAL	100		130		0	х	\$	18.00	=	\$	0.00
INDEP.	4		7	=	0	x	\$	86.00	.=	\$	0.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM + \$ 0.00									=	\$	0.00
	•							TOTAL			
	•						ΑI	DDIT. FEE		\$	0.00

No additional fee for claims is required.

FEE PAYMENT

5. Authorization is hereby made to charge the amount of \$110.00 to Deposit Account No. 19-4972.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

FEE DEFICIENCY

6. An additional extension and/or fee is required, charge Account No. 19-4972.

An additional fee for claims is required, charge Account No. 19-4972.

Date: January 9, 2004

John L. Conway

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11/A EWm-1-22-04

Applicant:

Morley et al.

Atty Dkt:

1956/130

Serial No:

09/675,423

Examiner: MD Elahee

Date Filed:

September 29, 2000

Art Group: 2188

Invention:

SYSTEM AND METHOD FOR HIERARCHICAL DATA

STORAGE

CERTIFICATE OF MAILING

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JAN 1 6 2004

John L. Conway

Technology Center 2100

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE A

Dear Sir:

In response to the Office Action mailed on September 10, 2003,

Applicants respond as follows: